

COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

ELECTRONIC APPLICATION OF BARKLEY LAKE)	CASE NO.
WATER DISTRICT FOR A RATE ADJUSTMENT)	2021-00454
PURSUANT TO 807 KAR 5:076)	

COMMISSION STAFF'S FIRST REQUEST FOR INFORMATION
TO BARKLEY LAKE WATER DISTRICT

Barkley Lake Water District (Barkley Lake District), pursuant to 807 KAR 5:001, is to file with the Commission an electronic version of the following information. The information requested is due on February 18, 2022. The Commission directs Barkley Lake District to the Commission's July 22, 2021 Order in Case No. 2020-00085¹ regarding filings with the Commission. Electronic documents shall be in portable document format (PDF), shall be searchable, and shall be appropriately bookmarked.

Each response shall include the question to which the response is made, and shall include the name of the witness responsible for responding to the questions related to the information provided. Each response shall be answered under oath or, for representatives of a public or private corporation or a partnership or association or a governmental agency, be accompanied by a signed certification of the preparer or the person supervising the preparation of the response on behalf of the entity that the response is true and accurate to the best of that person's knowledge, information, and belief formed after a reasonable inquiry.

¹ Case No. 2020-00085, *Electronic Emergency Docket Related to the Novel Coronavirus COVID-19* (Ky. PSC July 22, 2021), Order (in which the Commission ordered that for case filings made on and after March 16, 2020, filers are NOT required to file the original physical copies of the filings required by 807 KAR 5:001, Section 8).

Barkley Lake District shall make timely amendment to any prior response if Barkley Lake District obtains information that indicates the response was incorrect when made or, though correct when made, is now incorrect in any material respect. For any request to which Barkley Lake District fails or refuses to furnish all or part of the requested information, Barkley Lake District shall provide a written explanation of the specific grounds for its failure to completely and precisely respond.

Careful attention shall be given to copied material to ensure that it is legible. When the requested information has been previously provided in this proceeding in the requested format, reference may be made to the specific location of that information in responding to this request. When applicable, the requested information shall be separately provided for total company operations and jurisdictional operations. When filing a paper containing personal information, Barkley Lake District shall, in accordance with 807 KAR 5:001, Section 4(10), encrypt or redact the paper so that personal information cannot be read.

1. Provide copies of each of the following, and when appropriate, provide in Excel spreadsheet format with all formulas, rows, and columns unprotected and fully accessible:

a. The general ledger for the calendar years 2020 and 2021 to date; and the trial balance for the calendar years 2020, and 2021 to date.

b. Adjusted Trial Balance showing unaudited account balances, audit adjustments, and audited balances for the calendar years 2020 and 2021 to date.

c. The schedule of notes and bonds payable on December 31, 2019; December 31, 2020; and the current period.

d. All debt agreements/bond ordinances and amortization schedules,

including related party debt.

e. Insurance policies for 2020 and the current period, if available.

f. Hours worked by each employee for the calendar years 2019, 2020, and the current period.

g. A document listing the names, job titles, job description, and pay rates for each employee on December 31, 2020 and for those currently employed.

h. A list that describes all employee benefits, other than salaries and wages, paid to, or on behalf of, each employee for each of the previous five years.

i. Minutes from Barkley Lake District's commissioner meetings for the calendar years 2019, 2020, and the current period.

j. A document listing the name of all commissioners for each of the five previous years, and state, individually, the total amount of each benefit paid to, or on the behalf of, each commissioner during each year (i.e., wages, health insurance premiums, life insurance premiums, FICA taxes, etc.).

k. Fiscal Court minutes approving each commissioner's appointment and compensation.

2. Provide a breakdown for the number of board meetings each commissioner attended during the test year.

3. Provide the number of occurrences for which late fees were assessed during the test year.

4. Provide the total amount collected for each nonrecurring charge and the number of occurrences for each nonrecurring charge that was assessed during the test year. If the revenue for any nonrecurring charge was zero, include that charge and indicate that zero revenue was received.

5. Provide the cost justification for all nonrecurring charges listed in Barkley Lake District's tariff.
6. Provide the rate for each nonrecurring charge collected in the test year and the rate for all nonrecurring charges contained in Barkley Lake District's tariff.
7. Refer to the depreciation schedule, explain what the handwritten red numbers represent.
8. Provide an overview of any actions planned or taken by Barkley Lake District to reduce its water loss, including any water loss reduction plan.
9. Refer to the Statement of Adjusted Operations, confirm that Barkley Lake District's Taxes Other Than Income expense is supposed to be \$0.
10. Identify the number of new water connections that Barkley Lake District installed in calendar year 2020.
11. Provide the amount of labor and materials recorded for each new water connection used during calendar year 2020.
12. Refer to the Application, Outstanding_Debt_Instruments.pdf.
 - a. Confirm the amortization schedules presented for Water System Bonds Series 2010B-1 and 2010B-2 are the Debt Service Schedules instead of the amortization schedules. If so, provide the Amortization Schedules for the Water System Bonds.
 - b. For each outstanding debt issuance still active, provide the case number in which Barkley Lake District was authorized to issue the debt.
13.
 - a. Provide the date when Barkley Lake District last performed a cost of service study (COSS) to review the appropriateness of its current rates and rate design.

b. Explain whether any material changes to Barkley Lake District's system has occurred that would cause a new COSS to be prepared since the date of Barkley Lake District's most recent COSS.

c. If there have been no material changes to Barkley Lake District's system, explain when Barkley Lake District anticipates completing a new COSS.

14. Refer to Barkley Lake District's Application, Billing Analysis. Provide a list of any adjustments made to the data and include an explanation of each adjustment.

15. Refer to Barkley Lake District's Tariff, the Water District's Bill example, located as the last page of the unnumbered section pertaining to the Bill example. The Final Notice Language states there is a fee of \$40.00 (lock fee \$20.00 plus unlock fee \$20.00) plus full payment of water bill is required. An after-hours fee of \$75.00 will be charged to any meter unlocked after 4:00 p.m.

a. Confirm that this unlock fee and after hours unlock fee have been removed from the Tariff and is no longer charged to any of Barkley Lake District's customers.

b. Confirm that the district will be revising the customer bill to remove these fees from the bill and bill example.



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DATED JAN 19 2022

cc: Parties of Record

Case No. 2021-00454

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